

JCE Reg'd PCT/PTO 29 MAR 2002

PTO/SB/21(08/00)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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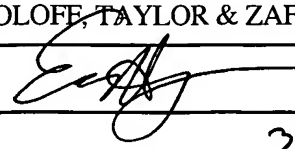
<b>TRANSMITTAL FORM</b> (to be used for all correspondence in initial filing)	<b>Application Number</b>	10/019,550	
	<b>Filing Date</b>	December 21, 2001	
	<b>First Named Inventor</b>	David Deleam	
	<b>Group Art Unit</b>		
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	14	<b>Attorney Docket Number</b>	15675P387

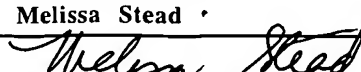
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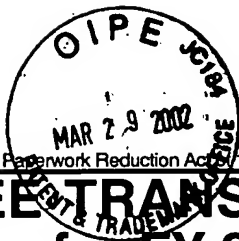
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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Prior Art References (5); Rapport De Recherch Internationale; Rapport De Recherche Preliminaire; Form 1449; return postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm or Individual name</b>	Eric S. Hyman, Reg. No. 30,139 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
<b>Signature</b>	
<b>Date</b>	3/21/02

CERTIFICATE OF MAILING (OR TRANSMISSION)			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: 3-21-02			
<b>Typed or printed name</b>	Melissa Stead		
<b>Signature</b>		<b>Date</b>	3-21-02

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number	10/019,550
Filing Date	12/21/01
First Named Inventor	David Deleam, et al.
Examiner Name	
Group Art Unit	
Attorney Docket Number	15675P387

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit  
Account  
Number  
Deposit  
Account  
Name

02-2666

Blakely, Sokoloff, Taylor & Zafman

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below, except for the filing fee, to the above-identified deposit account

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101	201	740	370	Utility filing fee	
106	206	330	165	Design filing fee	
107	207	510	255	Plant filing fee	
108	208	740	370	Reissue filing fee	
114	214	160	80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
15	X		
2	X		

Multiple Dependent Claims

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
103	203	18	9	Claims in excess of 20
102	202	84	42	Independent claims in excess of 3
104	204	280	140	Multiple Dependent claim
109	209	84	42	Reissue independent claims over original patent
110	210	18	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	205	130	65	Surcharge - late filing fee or oath	
127	227	50	25	Surcharge - late provisional filing fee or cover sheet	
139	139	130	130	Non-English specification	
147	147	2,520	2,520	For filing a request for ex parte reexamination	
112	112	920	920	Requesting publication of SIR prior to Examiner action	
113	113	1,840	1,840	Requesting publication of SIR after Examiner action	
115	215	110	55	Extension for response within first month	
116	216	400	200	Extension for response within second month	
117	217	920	460	Extension for response within third month	
118	218	1,440	720	Extension for response within fourth month	
128	228	1,960	980	Extension for response within fifth month	
119	219	320	160	Notice of Appeal	
120	220	320	160	Filing a brief in support of an appeal	
121	221	280	140	Request for oral hearing	
138	138	1,510	1,510	Petition to institute a public use proceeding	
140	240	110	55	Petition to revive - unavoidably	
141	241	1,280	640	Petition to revive - unintentionally	
142	242	1,280	640	Utility issue fee (or reissue)	
143	243	460	230	Design issue fee	
144	244	620	310	Plant issue fee	
122	122	130	130	Petitions to the Commissioner	
123	123	50	50	Petitions related to provisional applications	
126	126	180	180	Submission of Information Disclosure Stmt	
581	581	40	40	Recording each patent assignment per property (times number of properties)	
146	246	740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	249	740	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	279	740	370	Request for Continued Examination (RCE)	
169	169	900	900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3) (\$)

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Eric S. Hyman, Reg. No. 30,139	Registration No. (Attorney/Agent)	Telephone	(310) 207-3800
Signature			Date	3/21/02

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#5  
5-23-02  
K



Atty. Docket No.: 15675.P387

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David Deleam, et al.

Application No.: 10/019,550

Filed: December 21, 2001

For: **A METHOD OF MANAGING THE  
DECODING AND PLAYBACK OF A  
SOUND SIGNAL IN AN  
ASYNCHRONOUS TRANSMISSION  
SYSTEM**

Examiner:

Art Group:

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to Rule 1.97, Applicant desires to make of record the reference(s) set forth on the attached Form PTO 1449 which were cited in a search report dated November 21, 2000. Enclosed is a copy of the search report which indicates the degree of relevance found by the foreign office where documents cited as category X are deemed relevant when taken alone; documents cited as category Y are deemed relevant when taken in combination with another reference; documents cited as category T are directed to the theory or principle underlying the invention; and documents cited as category A are deemed relevant as technological background. A copy of each reference is submitted herewith.

It is noted that the column labeled "Categorie" translates to Category, the column "Citation du document avec indication, en cas de besoin, des parties pertinentes" translates to citation for document with indication, where appropriate, of relevant passages and the column labeled "Revendications concemees de la demande examinee" translates to relevant to claims.


It is hereby stated that this Information Disclosure Statement is being filed prior to the issuance of the initial Office Action, therefore no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicant hereby so petitions. Please charge any additional fee due to Deposit Account 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: \_\_\_\_\_

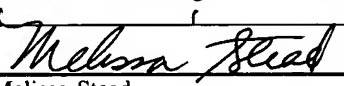
3/21/02

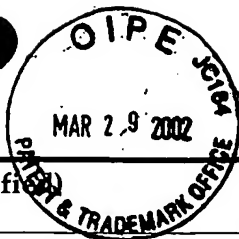
  
Eric S. Hyman  
Reg. No. 30,139

12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
(310) 207-3800

**CERTIFICATE OF MAILING**

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 3-21-02  
Melissa Stead Date



Form PTO-1449 (Modified)	Atty Docket No. 15675P387	Application No. 10/019,550
List of Patents & Publications Statement	Applicant(s): David Deleam, et al.	
(Use several sheets if necessary)	Filing Date: December 21, 2001	Group No.:

## U.S. PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
	AA	4,703,477	10/27/2087	Adelmann et al.	370	94	
	AB	5,825,771	10/20/1998	Cohen et al.	370	394	
	AC	5,790,538	08/04/1998	Sugar	370	352	
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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## FOREIGN PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Country	Class	Sub-class	Translation Yes	No
	AL	WO 99/17584	08/04/1999	PCT	H04Q 11	00	X	
	AM	EP 0743773 A2	20/11/1996	Europe	H04L 7	00	X	
	AN							
	AO							
	AP							
	AQ							

## OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)

Exam. Initials		Document Identification
	AR	
	AS	
	AT	

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication